PATENT COOPERATION TREATY

	From the INTERNATIONAL BUREAU
PCT	То:
NOTIFICATION OF ELECTION (PCT Rule 61.2)	Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ÉTATS-UNIS D'AMÉRIQUE
Date of mailing (day/month/year)	in its conscitutes placed Office
08 December 1999 (08.12.99)	in its capacity as elected Office
International application No. PCT/CA99/00314	Applicant's or agent's file reference 76023-19
International filing date (day/month/year)	Priority date (day/month/year)
07 April 1999 (07.04.99)	07 April 1998 (07.04.98)
Applicant	
HISCOTT, John et al	
1. The designated Office is hereby notified of its election made with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice effecting later election filed with the International Preliminar 28 October 19 in a notice election filed with the International Preliminar 29 in a notice election filed with the International	y Examining Authority on: 199 (28.10.99) Inational Bureau on:
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20 Switzerland	Authorized officer Marc Salzman

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35





INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's	or agent's file reference		See Notification of Transmitte	al of International
76023-19)	FOR FURTHER ACTION	Preliminary Examination Rep	
Internationa	application No.	International filing date (day/month	(year) Priority date (da	y/month/year)
PCT/CA9	99/00314	07/04/1999	07/04/1998	
C12N15/	al Patent Classification (IPC) or na 12 MORTIMER B. DAVIS-JE			
and is	transmitted to the applicant	nination report has been prepared according to Article 36. f 5 sheets, including this cover s		ninary Examining Authority
b (s	een amended and are the ba	ed by ANNEXES, i.e. sheets of the sis for this report and/or sheets of the formal of the Administrative Instruction of the Administrative Instruction of the Sheets.	ontaining rectifications mad	
3. This r	eport contains indications rela	ating to the following items:		
	☐ Priority			
111	<u>. </u>	opinion with regard to novelty, in	entive step and industrial a	pplicability
IV	☐ Lack of unity of inventi		,	,,
V	⊠ Reasoned statement u	under Article 35(2) with regard to	novelty, inventive step or in	dustrial applicability;
VI	Certain documents cit	ted .		
VII	Certain defects in the i	international application		
VIII	☐ Certain observations o	on the international application		
Date of sub	mission of the demand	Date of	completion of this report	
28/10/19			07. 00	
	mailing address of the internation examining authority:	al Authori	ed officer	LINGUES M. E. LE
<u>)</u>	European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 52365	Stolz,	3	Control of the second of the s

Telephone No. +49 89 2399 8416

Fax: +49 89 2399 - 4465

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CA99/00314

I. Basis	of th	r port
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1. This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):

	the	report since they d	lo not contain amendments.):	•	3 ,	
	Des	cription, pages:				
	1-4,	6-10,12-41	as originally filed			
	5,5	a,11,11a	as received on	05/06/2000	with letter of	01/06/2000
	Cla	ims, No.:				
	1-34	1	as received on	05/06/2000	with letter of	01/06/2000
	Dra	wings, sheets:				
	1/30	0-30/30	as originally filed			
2.	The	amendments have	e resulted in the cancellation of:			
		the description,	pages:		,	
		the claims,	Nos.:			
		the drawings,	sheets:			
3.	⊠		een established as if (some of) the beyond the disclosure as filed (f		nts had not been made	e, since they have been
		see separate she	eet			
4.	Ado	litional observation	s, if necessary:			
		see separate she	eet			

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CA99/00314

- V. R asoned stat ment under Article 35(2) with r gard to novelty, inventive st p or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims 2, 4-31

No:

Claims 1,3

Inventive step (IS)

Yes:

Claims 2, 6-31

No:

Claims 1, 3-5

Industrial applicability (IA)

Yes:

Claims 1-31

No:

Claims

2. Citations and explanations

see separate sheet

1. Basis of the report

1.1. A basis for the amendments to claims 15(b), 27 as far as it relates to hepatitis infection, and claims 32 to 34 could not be found in the application documents as originally filed. Hence, these amendments are deemed to contravene Art. 34(2)(b) PCT. Consequently, this report does not contain a reasoned statement with regard to novelty, inventive step and industrial activity of claims 15 and 27 (both partially) and claims 32 to 34.

Also, a basis for the amendments on p. 5, lines 17 to 21, referring to commercial packages, and on p. 11, lines 19 to 21, referring to proteins of other species, is not apparent.

1.2. Replacement pages 2/13 to 4/13 of the sequence listing have been filed. The amendments concern the numbering of amino acid residues and do not affect the contents of the disclosure.

2. Reasoned statement

2.1. This report has been established under the assumption of valid priority rights. The application describes mutant IRF-3 and IRF-7 proteins yielding increased cytokine gene activation when compared to the activation obtained with native proteins.

2.2. Novelty (Art. 33(2) PCT)

Claims 1 and 3, as presently worded, are insufficiently delimited from the prior art. Claim 1 refers to an "interferon regulatory factor" with at least one modified serine or threonine phospho acceptor site in the "carboxy terminus domain". In view of Yoneyama et al., 1998 (cited in the ISR), IRF-3 phosphorylated on Ser 385 or Ser 386 was excluded from the scope of protection. However, Yoneyama et al. describe also replacement mutants where six of the seven Ser have been replaced by Ala (p. 1090, top left). These mutants are within the scope of claims 1 and 3.

International application No. PCT/CA99/00314

The remaining claims cover subject matter which has not been disclosed in the cited prior art.

2.3. Inventive step (Art. 33(3))

Yoneyama et al. disclosed phosphorylation on two serine residues of IRF-3 as a way of activating interferon genes. They also speculated on a potential role in growth regulation. In view of this document, the present contribution can be identified as the provision of IRF analogues. Since these mutants display a surprisingly large stimulation (activity) and the role of additional Ser and Thr residues could not be derived from the cited prior art in an obvious way, inventive step can be acknowledged.

However, claims 4 and 5, as presently worded, are deemed to lack inventive step. These claims include wild-type IRF-7 in phosphorylated form because the term "modification" does not necessarily imply amino acid replacement. As phosphorylation of IRF-3 was known in the art (Yoneyama et al.) and as the IRFs are to a certain degree related, isolation of the corresponding phosphorylated forms of IRF-7 would not have required inventive skills.

E.K



PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	(Form PCT/ISA/2	of Transmittal of International Search Report 20) as well as, where applicable, item 5 below.
76023-19	ACTION	(Fadioal) Briggin, Data (doubeathlyage)
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/CA 99/00314	07/04/1999	07/04/1998
Applicant		
THE SIR MORTIMER B. DAVIS-	-JEWISH GENERAL HOSPITAL	
This International Search Report has been according to Article 18. A copy is being tra	n prepared by this International Searching Auth	nority and is transmitted to the applicant
This International Search Report consists	of a total of sheets.	
	a copy of each prior art document cited in this	report.
1. Basis of the report		
a. With regard to the language, the language in which it was filed, unl	international search was carried out on the ba ess otherwise indicated under this item.	sis of the international application in the
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation of t	he international application furnished to this
b. With regard to any nucleotide an		nternational application, the international search
was carried out on the basis of the X contained in the internation	e sequence listing : anal application in written form.	
	rnational application in computer readable for	m.
	this Authority in written form.	
	this Authority in computer readble form.	
the statement that the sub	osequently furnished written sequence listing of siled has been furnished.	loes not go beyond the disclosure in the
		s identical to the written sequence listing has been
furnished		
2. X Certain claims were fou	nd unsearchable (See Box I).	
3. Unity of invention is lac	king (see Box II).	
4. With regard to the title ,	haritha dha Ala an an airin an h	
the text is approved as su	• • • • • • • • • • • • • • • • • • • •	
the text has been establis	hed by this Authority to read as follows:	·
·		
·		
5. With regard to the abstract,		
the text is approved as su	bmitted by the applicant.	
the text has been establis	thed, according to Rule 38.2(b), by this Author a date of mailing of this international search re	ity as it appears in Box III. The applicant may, port, submit comments to this Authority.
6. The figure of the drawings to be publication		14
X as suggested by the appli	cant.	None of the figures.
because the applicant fail	ed to suggest a figure.	_
because this figure better	characterizes the invention.	

INTERNATIONAL SEARCH REPORT

ernational application No.

PCT/CA 99/00314

Box I	Observations where certain claims were found uns archable (Continuation of item 1 of first sheet)
This Inte	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: Remark: Although claims 21-22 (as far as they concern an in vivo method) and claims 23-34 are directed to a method of treatment of the human/animal body (rule 39.1 (IV) PCT, the search been carried out and based on the alleged effects of the compound/composition.
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inte	ernational Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.



1 01 1001	FICATION OF SUBJECT MATTER		
IPC 6	C12N15/12 C07K14/47 A61K38/11 C12N15/62	7 A61K48/00 C07K19/00	
According to	International Patent Classification (IPC) or to both national classificat	ion and IPC	
	SEARCHED		
Minimum do	cumentation searched (classification system followed by classification C12N C07K	n symbols)	
Documentat	ion searched other than minimum documentation to the extent that su	ch documents are included in the fields searched	
Electronic d	ata base consulted during the international search (name of data bas	e and, where practical, search terms used)	
C. DOCUMI	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the rele	vant passages Relevant to claim N	10.
X	MITSUTOSHI YONEYAMA ET AL: "Dire triggering of the type I interfer systemby virus infection: activat transcription factor complex cont IRF-3 and CBP/p300" EMBO JOURNAL., vol. 17, no. 4, 16 February 1998 (1998-02-16), pa 1087-1095, XP002110452 OXFORD UNIVERSITY PRESS, SURREY., ISSN: 0261-4189 page 1089, right-hand column, pa page 1089, left-hand column, par right-hand column, par figure 4A	on ion of a aining ges GB ragraph 2	
i		/	
		'	
X Furt	her documents are listed in the continuation of box C.	Patent family members are listed in annex.	
° Special ca	ategories of cited documents :	"T" later document published after the international filing date	
consid	ent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international	or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention	
filing		cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	
citatio	is cited to establish the publication date of another in or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the	
other	ent referring to an oral disclosure, use, exhibition or means	document is combined with one or more other such docu- ments, such combination being obvious to a person skilled in the art.	
	ent published prior to the international filing date but han the priority date claimed	"&" document member of the same patent family	
Date of the	actual completion of the international search	Date of mailing of the international search report	
2	? August 1999	17/08/1999	
Name and	mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer	
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Le Cornec, N	



C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WEI-CHUN AU ET AL: "Identification of a member of the interferon regulatory factor family that binds to the interferon-stimulated response element and activates expression of interferon-induced genes" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA., vol. 92, December 1995 (1995-12), pages 11657-11661, XP000490487 NATIONAL ACADEMY OF SCIENCE. WASHINGTON., US ISSN: 0027-8424 cited in the application	15,16,18
Α	the whole document	21,22
X	L. ZHANG ET AL: EMBL DATABASE ENTRY HSU53830, ACCESSION NUMBER U53830, 19 May 1997 (1997-05-19), XP002110966 cited in the application abstract -& L. ZHANG ET AL: "IRF-7, a new Interferon Regulatory Factor associated with Epstein -Barr virus latency" MOLECULAR AND CELLULAR BIOLOGY., vol. 17, no. 10, October 1997 (1997-10), pages 5748-5737, XP002110967 AMERICAN SOCIETY FOR MICROBIOLOGY, WASHINGTON., US ISSN: 0270-7306	15,17,18
X	A. GROSSMAN ET AL: "Characterization of IRF-7, a novel Interferon Regulatory Factor" EMBL DATABASE ENTRY HSU73036, ACCESSION NUMBER U73036, 21 October 1996 (1996-10-21), XP002110973 cited in the application abstract & UNPUBLISHED,	15,17,18
P,X	R. LIN ET AL: "Virus-dependent phosphorylation of the IRF-3 transcription factor regulates nuclear translocation, transactivation potential, and proteasome mediated degradation" MOLECULAR AND CELLULAR BIOLOGY., vol. 18, no. 5, May 1998 (1998-05), pages 2986-2996, XP002110454 AMERICAN SOCIETY FOR MICROBIOLOGY, WASHINGTON., US ISSN: 0270-7306 the whole document	1-9,15, 16,19, 21,22



Category °	Citation of document, with indication,where appropriate, of the relevant passages	Relevant to claim No.
P,X	R. LIN ET AL: "Essential role of interferon regulatory factor 3 in direct activation of RANTES chemokine transcription" MOLECULAR AND CELLULAR BIOLOGY., vol. 19, no. 2, February 1999 (1999-02), pages 959-966, XP002110455 AMERICAN SOCIETY FOR MICROBIOLOGY, WASHINGTON., US ISSN: 0270-7306 the whole document	1-9,15, 16,19-22
T	R. LIN ET AL: "Structural and functional analysis of interferon regulatory factor-3: Localization of the Transactivation and autoinhibitory domains" MOLECULAR AND CELLULAR BIOLOGY., vol. 19, no. 4, April 1999 (1999-04), pages 2465-2474, XP002110456 AMERICAN SOCIETY FOR MICROBIOLOGY, WASHINGTON., US ISSN: 0270-7306	

PATENI CUUPEKATION INI

From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

MORROW, Joy D. SMART & BIGGAR P.O. Box 2999, Station D 900-55 Metcalfe Street Ottawa, Ontario K1P 5Y6

CANADA

FAX NO: 613-232-8440

by tax and post

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing (day/month/year) 17. 07. 00

Applicant's or agent's file reference

International application No.

76023-19

International filing date (day/month/year) 07/04/1999

Priority date (day/month/year) 07/04/1998

1.

IMPORTANT NOTIFICATION

PCT/CA99/00314

Applicant THE SIR MORTIMER B. DAVIS-JEWISH GENERAL... et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and fumish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

European Patent Office D-30298 Munich

Tel. +49 89 2399 - 0 Tx: 523656 epmu d

Fax: +49 89 2399 - 4465

Authorized officer

Stefanie Büchler Faux. K

Tel.+49 S9 2399-8062

Form PCT/IPEA/416 (July 1992)

OCT 05 2000 18:40

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PAGE.06

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/CA99/00314

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Yes: Novelty (N)

Claims 2, 4-31 Claims 1,3 No:

Claims 2, 6-31 Yes: Inventive step (IS)

Claims 1, 3-5 No:

Claims 1-31 Yes: Industrial applicability (IA)

Claims No:

2. Citations and explanations

see separate sheet

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/CA99/00314

ι.	Basis	of the	report
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Oct-05-00 06:27pm

1. This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in

r	his re espoi he re	eport has been d nse to an invitation port since they d	on under Article 14 are refe to not contain amendments	erred to in this repor .):	t as "originally III	and are not and	
I	Desci	ription, pages:					
	1-4,6-	10,12-41	as originally filed			01/06/2000	
	5,5a,	11,11a	as received on	05/06/2000	with letter of	01/00/2000	
	Clain	ns, No.:		05/00/2000	with letter of	01/06/2000	
	1-34		as received on	05/06/2000	WIGH ICENTIC		
	Drav	vings, sheets:					
	1/30	-30/30	as originally filed				
2.	The	amendments ha	ave resulted in the cancellat	tion of:			
		the description.	pages:				
		the claims,	Nos.:				
		the drawings,	sheets:				
3	. 🗵	This report has considered to g	s been established as if (sor go beyond the disclosure as	ne of) the amendm s filed (Rule 70.2(c)	ents had not bee):	en made, since they have be)er
		see separate	sheet				
4	. Ad	ditional observat	tions, if necessary:				

see separate sheet

OCT 05 2000 18:40

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

	eforence	FOR FURTHER ACTIO	N Proliminar	eation of Transmittal of International y Examination Report (Form PCT/IPEA/416)
6023-19		International filing date (day/m	onth/year)	Priority date (day/month/year)
nternational application N	٥.	07/04/1999		07/04/1998
CT/CA99/00314		onal classification and IPC		
C12N15/12				
THE SIR MORTIME	R B. DAVIS-JEV	VISH GENERAL et al.		E Authority
and is transmitted	1 (0 (ile applicant a	,		iternational Preliminary Examining Authority
	s also accompanie	5 sheets, including this co d by ANNEXES, i.e. sheets sis for this report and/or she 07 of the Administrative Ins	of the descrip	tion, claims and/or drawings which have rectifications made before this Authority r the PCT).
I Deze guneves	consist of a total o			
	ains indications re	ating to the following items	:	
3. This report cont		ating to the following items	:	
3. This report cont	ils of the report			Linductrial applicability
3. This report cont	ils of the report			tep and industrial applicability
3. This report cont 🛭 Bas 🗆 Pric	sls of the report prity n-establishment of	opinion with regard to nove	alty, inventive s	tep and industrial applicability
3. This report cont 1	sis of the report ority n-establishment of it of unity of inven asoned statement tions and explana	opinion with regard to nove tion under Article 35(2) with reg tions suporting such staten	aity, inventive s	tep and industrial applicability inventive step or industrial applicability;
3. This report cont Second Base II	sis of the report ority n-establishment of it of unity of inven asoned statement tions and explana rtain documents of	opinion with regard to nove tion under Article 35(2) with reg tions suporting such staten ited	aity, inventive s	
3. This report cont Sas Pric No V C Re Cita C C	sis of the report ority n-establishment of the of unity of inven- asoned statement tions and explana- rtain documents of	opinion with regard to nove tion under Article 35(2) with reg tions suporting such stater ited	alty, inventive s pard to novelty, nent	
3. This report cont 1	sis of the report ority n-establishment of the of unity of inven- asoned statement tions and explana- rtain documents of	opinion with regard to nove tion under Article 35(2) with reg tions suporting such staten ited	alty, inventive s pard to novelty, nent	
3. This report cont 1	sis of the report ority n-establishment of the of unity of inven- asoned statement tions and explana- rtain documents of	opinion with regard to nove tion under Article 35(2) with reg tions suporting such stater ited	alty, inventive s pard to novelty, nent	inventive step or industrial applicability;
3. This report cont 1	sis of the report ority n-establishment of the circle of unity of inventations and explanations and explanation documents of the circle observations	opinion with regard to nove tion under Article 35(2) with reg tions suporting such stater ited	alty, inventive s pard to novelty, nent	
3. This report cont 1	sis of the report ority n-establishment of the circle of unity of inventations and explanations and explanation documents of the circle observations	opinion with regard to nove tion under Article 35(2) with reg tions suporting such stater ited	alty, inventive s pard to novelty, nent	inventive step or industrial applicability;
3. This report cont 1	els of the report ority n-establishment of ek of unity of inven asoned statement ations and explana rtain documents or rtain defects in the rtain observations	opinion with regard to nove tion under Article 35(2) with reg tions suporting such staten ited international application on the international applica	pard to novelty, nent Date of completi	inventive step or industrial applicability; on of this report
3. This report cont Sas Pric No No V	sis of the report prity n-establishment of the of unity of invent asoned statement ations and explana rtain documents of rtain defects in the rtain observations I the demand	opinion with regard to nove tion under Article 35(2) with reg tions suporting such staten ited international application on the international applica	pard to novelty, nent	inventive step or industrial applicability; on of this report
3. This report cont 1	sis of the report prity n-establishment of the demand tions and explana reain documents of reain defects in the reain observations the demand	opinion with regard to nove tion under Article 35(2) with reg tions suporting such staten ited international application on the international applica	pard to novelty, nent Date of completi	inventive step or industrial applicability; on of this report

Form PCT/IPEA/409 (cover sheet) (January 1994)

EXAMINATION REPORT - SEPARATE SHEET 1.1. A basis for the amendments to claims 15(b), 27 as far as it relates to hepatitis infection, and claims 32 to 34 could not be found in the application documents as originally filed. Hence, these amendments are deemed to contravene Art. 34(2)(b) PCT. Consequently, this report does not contain a reasoned statement with regard to novelty, inventive step and industrial activity of claims 15 and 27 (both

Also, a basis for the amendments on p. 5, lines 17 to 21, referring to commercial partially) and claims 32 to 34. packages, and on p. 11, lines 19 to 21, referring to proteins of other species, is

1.2. Replacement pages 2/13 to 4/13 of the sequence listing have been filed. The amendments concern the numbering of amino acid residues and do not affect the contents of the disclosure.

2.

- 2.1. This report has been established under the assumption of valid priority rights. The application describes mutant IRF-3 and IRF-7 proteins yielding increased cytokine gene activation when compared to the activation obtained with native proteins.
 - 2.2. Novelty (Art. 33(2) PCT)

Claims 1 and 3, as presently worded, are insufficiently delimited from the prior art. Claim 1 refers to an "interferon regulatory factor" with at least one modified serine or threonine phospho acceptor site in the "carboxy terminus domain". In view of Yoneyama et al., 1998 (cited in the ISR), IRF-3 phosphorylated on Ser 385 or Ser 386 was excluded from the scope of protection. However, Yoneyama et al. describe also replacement mutants where six of the seven Ser have been replaced by Ala (p. 1090, top left). These mutants are within the scope of claims 1 and 3.

Form PCT/Separate Sheet/409 (Sheet 1) (EPO-April 1997)

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/CA99/00314

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The remaining claims cover subject matter which has not been disclosed in the cited prior art.

2.3. Inventive step (Art. 33(3))

Yoneyama et al. disclosed phosphorylation on two serine residues of IRF-3 as a way of activating interferon genes. They also speculated on a potential role in growth regulation. In view of this document, the present contribution can be identified as the provision of IRF analogues. Since these mutants display a surprisingly large stimulation (activity) and the role of additional Ser and Thr residues could not be derived from the cited prior art in an obvious way, inventive step can be acknowledged.

However, claims 4 and 5, as presently worded, are deemed to lack inventive step. These claims include wild-type IRF-7 in phosphorylated form because the term "modification" does not necessarily imply amino acid replacement. As phosphorylation of IRF-3 was known in the art (Yoneyama et al.) and as the IRFs are to a certain degree related, isolation of the corresponding phosphorylated forms of IRF-7 would not have required inventive skills.

phosphoacceptor site in the carboxy-terminus domain, preferably wherein cytokine gene activation by the modified IRF is increased relative to cytokine gene activation by a corresponding wild type IRF protein.

The present invention also provides a pharmaceutical composition comprising an effective amount of the interferon regulatory factor (IRF) protein according to the invention, together with a pharmaceutically acceptable carrier, for the treatment of a viral infection, for example, an influenza 10 infection, a herpes infection or an HIV infection.

The present invention further provides use of the interferon regulatory factor (IRF) protein according to the invention to activate a cytokine gene, preferably wherein the cytokine gene is an interferon gene or a chemokine gene.

15 <u>DESCRIPTION OF THE FIGURES</u>

Figure 1. Sendai virus infection induces IRF-3 degradation.

IRF-3 expression plasmid CMVBL-IRF3 (lanes 1 and 2) or CMVBL vector alone (lanes 3 and 4), both at 5 μ g were transiently 20 transfected into 293 cells by the calcium phosphate method. At 24h post transfection, cells were infected with Sendai virus for 16h (lanes 2 and 4) or left uninfected (lanes 1 and 3). Whole cell extracts (20 μ g) were prepared and analyzed by immunoblotting with anti-IRF-3 antibody.

- 25 Figure 2. Sendai virus induced phosphorylation and degradation of IRF-3 protein.
 - A) rtTA-IRF-3 cells, selected as described in the Example, were induced to express IRF-3 by doxycycline treatment for 24h. At 24h after Dox addition, cells were infected with Sendai virus
- 30 for 4, 8, 12, 16, 20, or 24h (lanes 2-7) or were left uninfected (lane 1). IRF-3 protein was detected in whole cell extracts (10 μ g) by immunoblot. Two forms of IRF-3 were detected, designated as form I and form II.
- B) At 24h post Dox induction, rtTA-IRF-3 cells were infected 35 with Sendai virus for 16 hours (lanes 4-8) or were left uninfected (lanes 1-3). Whole cell extracts from untreated

having aspartic acid residues in at least one of postions 396, 398, 402, 404 and 405 of the sequence, more preferably in positions 396, 398, 402, 404 and 405 of the sequence (IRF-3(5D)) (Figure 10). The preferred mutant form of IRF-7 is that having aspartic acid residues in at least one of positions 477 and 479 of the sequence, more preferable in positions 477 and 479 of the sequence (IRF-7(2D)) (Figure 12).

Also within the scope of the invention are chimeric proteins comprising a carboxy-terminus domain of one modified 10 IRF protein, modified as discussed above, and an amino-terminal domain of another IRF protein. Preferably, the amino-terminus of IRF-7 is fused to the carboxy-terminus of modified IRF-3. It is more preferred that the carboxy-terminus of modified IRF-3 is that of IRF-3(5D). Even more preferred is a chimeric protein comprising residues 1 to 246 of IRF-7 and residues 132 to 427 of IRF-3(5D) (Figure 13).

Also within the scope of the invention are proteins which are substantially homologous to the above proteins and which retain the function of those proteins.

20 Nucleotide sequences within the scope of the invention are those which encode a protein of the invention. Preferably, the nucleotide sequence is a coding DNA sequence as defined in Figure 10 or a DNA sequence which is hybridizable under stringent conditions with the complement of the coding 25 DNA sequence of Figure 10, which DNA encodes IRF-3(5D). Also, preferably, the nucleotide sequence is a coding DNA sequence as defined in Figure 12 or a DNA sequence which is hybridizable under stringent conditions with the complement of the coding DNA sequence of Figure 12, which DNA encodes IRF-7(2D). Also 30 preferably, the nucleotide sequence is a coding DNA sequence as defined in Figure 13 or a DNA sequence which is hybridizable under stringent conditions with the complement of the coding DNA sequence of Figure 13, which DNA encodes IRF-7(1-246)/IRF-3(132-427) chimeric protein.

A combination of IRF-3 deletion and point mutations localized the inducible phosphorylation sites to the region -ISNSHPLSLTSDQ- between amino acids 395 and 407; point mutation



Claims:

- 1. A modified interferon regulatory factor (IRF) protein, the protein comprising at least one modified serine or threonine phosphoacceptor site in the carboxy-terminus domain.
- 5 2. The interferon regulatory factor (IRF) protein according to claim 1, wherein cytokine gene activation by the modified IRF is increased relative to cytokine gene activation by a corresponding wild type IRF protein.
- 3. The interferon regulatory factor (IRF) protein 10 according to claim 1 or 2, wherein the at least one modified phosphoacceptor site is modified by phosphorylation.
- 4. The interferon regulatory factor (IRF) protein according to claim 1 or 2, wherein the at least one modified phosphoacceptor site comprises an amino acid residue having an acidic side chain.
 - 5. The interferon regulatory factor (IRF) protein according to claim 4, wherein the amino acid residue is aspartic acid.
- 6. The interferon regulatory factor (IRF) protein
 20 according to claim 3, 4 or 5, wherein the modified IRF is IRF-3
 modified at a site selected from at least one of Ser-396, Ser398, Ser-402, Thr-404 and Ser-405.
- The interferon regulatory factor (IRF) protein according to claim 6, wherein the modified IRF is IRF-3
 modified at Ser-396, Ser-398, Ser-402, Thr-404 and Ser-405 sites.
 - 8. The interferon regulatory factor (IRF) protein according to claim 7 having the sequence of ID No. 2 in the sequence listing (IRF-3(5D)).

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- 9. The interferon regulatory factor (IRF) protein according to claim 7, wherein the modified IRF comprises a carboxy-terminus domain of IRF-3 modified at a site selected from at least one of Ser-396, Ser-398, Ser-402, Thr-404 and 5 Ser-405 and an amino-terminus domain from IRF-7.
- 10. The interferon regulatory factor (IRF) protein according to claim 9, wherein the modified IRF has an amino-terminal domain comprising residues 1 to 246 of IRF-7 and a carboxy-terminal domain comprising residues 132 to 427 of IRF-3 modified by replacement of each of Ser-396, Ser-398, Ser-402, Thr-404 and Ser-405 by an aspartic acid residue.
 - 11. The interferon regulatory factor (IRF) protein according to claim 10 having the sequence of ID No. 11 in the sequence listing (IRF-7(1-246)/IRF-3(5D)(132-427)).
- 15 12. The interferon regulatory factor (IRF) protein according to claim 3, 4 or 5, wherein the modified IRF is IRF-7 modified at a site selected from at least one of Ser-477 and Ser-479.
- 13. The interferon regulatory factor (IRF) protein
 20 according to claim 12, wherein the modified IRF-7 is modified at Ser-477 and Ser-479 sites.
 - 14. The interferon regulatory factor (IRF) protein according to claim 13 having the sequence of ID No. 9 in the sequence listing (IRF-7(2D)).
- 25 15. A nucleotide sequence which encodes the interferon regulatory factor (IRF) protein according to any one of claims 1 to 14, or a nucleotide sequence that is hybridizable under stringent conditions with the complement of the nucleotide sequence which encodes the interferon regulatory factor (IRF) 30 protein.



- 16. The nucleotide sequence according to claim 15, which is a DNA sequence of ID No. 1 in the sequence listing.
- 17. The nucleotide sequence according to claim 15, which is a DNA sequence of ID No. 8 in the sequence listing.
- 5 18. The nucleotide sequence according to claim 15, which is a DNA sequence of ID No. 10 in the sequence listing.
- 19. A pharmaceutical composition comprising an effective amount of the interferon regulatory factor (IRF) protein according to any one of claims 1 to 14, together with a 10 pharmaceutically acceptable carrier, for the treatment of a viral infection.
 - 20. The pharmaceutical composition according to claim 19, wherein the viral infection is selected from an influenza infection, a herpes infection and an HIV infection.
- 15 21. Use of the interferon regulatory factor (IRF) protein according to any one of claims 1 to 14 to activate a cytokine gene.
 - 22. The use according to claim 21, wherein the cytokine gene is an interferon gene or a chemokine gene.
- 20 23. Use of the interferon regulatory factor (IRF) protein according to any one of claims 1 to 14 in cancer treatment.
 - 24. Use of the nucleotide sequence according to any one of claims 15 to 18 to modify a target cell of an organism.